

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**10237.28**

In Re Application Of: **Sachin Govind Deshpande**

Serial No.  
**10/757,102**

Filing Date  
**January 14, 2004**

Examiner  
**Unassigned**

Group Art Unit  
**Unassigned**

Title: **SYSTEMS AND METHODS FOR PROVIDING A DISCOVERY PROTOCOL**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
10237.28

In Re Application: Sachin Govind Deshpande

APR 23 2004

PATENT & TRADEMARK OFFICE  
Serial No.

10/757,102

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**SYSTEMS AND METHODS FOR PROVIDING A DISCOVERY PROTOCOL**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **500843** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 20, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Michael F. Krieger

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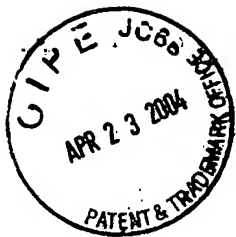
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\_\_\_\_\_  
Signature

Dated: April 20, 2004

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CC:



PATENT APPLICATION  
Docket No: 10237.28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Sachin Govind Deshpande	)
		)
Serial No.:	10/757,102	) Art Unit
		) Unassigned
Confirmation No.:	Unassigned	)
		)
Filed:	January 14, 2004	)
		)
For:	SYSTEMS AND METHODS FOR	)
	PROVIDING A DISCOVERY PROTOCOL	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop: DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be “prior art” within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

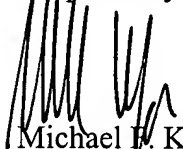
believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 20 day of April 2004.

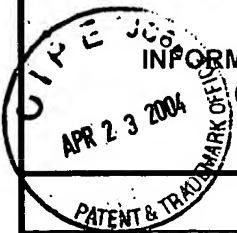
Respectfully submitted,



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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

10237.28

SERIAL NO.

10/757,102

Sachin Govind Deshpande

FILING

January 14, 2004

GROUP

Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	None					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	None						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	1	Christensson, Bengt and Larsson, Olof, "Universal Plug and Play Connects Smart Devices," <i>WinHEC 99</i> , 1999. <a href="http://www.axis.com/products/documentation/UPnP.doc">http://www.axis.com/products/documentation/UPnP.doc</a>
	2	Eustice, K.F., Lehman, T.J., Morales, A., Munson, M.C., Edlund, S., and Guillen, M., "A universal information appliance," <i>IBM Systems Journal</i> , vol. 38, no. 4, 1999. <a href="http://www.research.ibm.com/journals/sj/384/eustice.html">http://www.research.ibm.com/journals/sj/384/eustice.html</a>

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

